

Brochure Supplement

**Steven M. Wilson, CFA
Howard M. Fish, IV
Andrey Seryy
Edward R. Shaw**

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Greenwich, CT 06830
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This brochure supplement provides information about our supervised persons that supplements the brochure of Lapides Asset Management, LLC (“LAM”). You should have received a copy of that brochure. Please contact James F. Betz at 203-422-7202 if you did not receive LAM’s brochure or if you have any questions about the contents of this supplement.

Item 2 – Educational Background and Experience

Steven M. Wilson, born January 26, 1960

Education

- 1981 Wharton School of the University of Pennsylvania, B.S.
- 1984 Chartered Financial Analyst (CFA) designation. The CFA is a globally recognized standard for measuring the competence and integrity of investment professionals. To obtain the designation, a candidate must pass a series of three practice-oriented examinations, taken in sequential levels, have relevant experience in the investment decision-making process, and uphold the stringent CFA Code and Standards.
- 1985 New York University, M.B.A.

Business Background

- 2005-Present Lapides Asset Management, LLC, Greenwich, CT; Investment Advisor, Founder and Managing Member
- 1994-2005 Reich & Tang Capital Management Group a division of Reich & Tang Asset Management, LLC, New York, NY; Investment Advisor, various positions, ending as Chief Investment Officer
- 1993-1994 Capital Management Group of New England Investment Companies, L.P., predecessor firm to Reich & Tang Asset Management; New York, NY; Investment Advisor, Managing Director
- 1986-1993 Reich & Tang, Inc.; New York, NY; Investment Advisor, various positions, ending as Senior Vice President
- 1985-1986 Home Capital Services, investment management subsidiary of the Home Insurance Company; New York, NY; President
- 1981-1985 Home Insurance Company; New York, NY, Investment Department, various positions, ending in Director of Research

Howard M. Fish IV, born April 29, 1985

Education

- 2008 Lafayette College, B.S.
- 2012 Completed Levels I, II & III of the CFA Exam

Business Background

- 2021 Lapidex Asset Management, LLC, Greenwich, CT; Investment Advisor, Director of Research and Analyst/Manager
- 2020 Lapidex Asset Management, LLC, Greenwich, CT; Investment Advisor, Director of Research, Research Analyst and Portfolio Manager-Income Product
- 2016-2019 Lapidex Asset Management, LLC, Greenwich, CT; Investment Advisor, Research Analyst and Director of Research
- 2012-2015 Lapidex Asset Management, LLC, Greenwich, CT; Investment Advisor, Research Assistant
- 2009-2011 The Retina Group of Washington, Washington, D.C.; Ophthalmology Clinic, Technician

Andrey Seryy, born January 7, 1987

Education

- 2013 Wayne State University, BSBA

Business Background

- 2021 Lapidex Asset Management, LLC, Greenwich, CT; Investment Advisor, Analyst/Manager
- 2020 Lapidex Asset Management, LLC, Greenwich, CT; Investment Advisor, Research Analyst and Portfolio Manager-Income Product
- 2018-2019 Lapidex Asset Management, LLC, Greenwich, CT; Investment Advisor, Research Analyst
- 2014-2017 Lapidex Asset Management, LLC, Greenwich, CT; Investment Advisor, Research Assistant
- 2013 Lapidex Asset Management, LLC, Greenwich, CT; Investment Advisor, Intern

Edward R. Shaw, born December 2, 1995

Education

2017 Sacred Heart University, B.S., Finance and Economics

Business Background

2021 Lapidés Asset Management, LLC, Greenwich, CT; Investment Advisor, Research Analyst

2020 Lapidés Asset Management, LLC, Greenwich, CT; Investment Advisor, Research Assistant and Portfolio Manager-Income Product

2017-2019 Lapidés Asset Management, LLC, Greenwich, CT; Investment Advisor, Research Assistant

Item 3 – Disciplinary Information

This item is not applicable.

Item 4 – Other Business Activities

This item is not applicable.

Item 5 – Additional Compensation

This item is not applicable.

Item 6 – Supervision

James F. Betz, LAM's Chief Compliance Officer, maintains ultimate responsibility for LAM's operations including supervision of all aspects of LAM's compliance operations. Mr. Betz discusses operational and compliance issues with Steven M. Wilson, LAM's Managing Member, on a regular basis. Mr. Betz can be reached at (203) 422-7202 or jbetz@lapides-am.com.